

06-19-01

JC03 Rec'd PCT/TC

T8 JUN 2001

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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O I P E
P A T E N T & T R A D E M A R K O F F I C E

TRANSMITTAL FORM

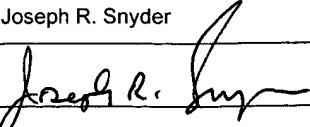
(to be used for all correspondence after initial filing)

Application Number	09/674,191 ✓
Filing Date	October 26, 2000
First Named Inventor	Chen, Tao et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Total Number of Pages in This Submission	1
Attorney Docket Number	016303007010

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	-Petition for filing patent application under 37 CFR 1.47(a) and Exhibit
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	-Declaration of Jeanette McDougal
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	-Exhibits 1, 2, and 3
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		-Executed Declaration & Power of Attorney
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		-Copy of Notice of Missing Parts
		-Return Postcard
	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

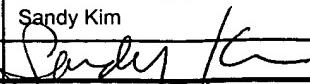
Firm and Individual name	Townsend and Townsend and Crew LLP Joseph R. Snyder	Reg No. 39,381
Signature		
Date	June 18, 2001	

CERTIFICATE OF MAILING

Express Mail Label: EL 815 807 617US

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date June 18, 2001 and is addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or printed name	Sandy Kim
Signature	
Date	June 18, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1237407 v1

10 Rock 18 JUN 2001

PTO/SB/17 (09-00)

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**PIPELINE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT		(\$)	1140	Attorney Docket No.	016303-007010US																																			
METHOD OF PAYMENT				FEE CALCULATION (continued)																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;">20-1430</div> <p>Deposit Account Number</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;">Townsend and Townsend and Crew LLP</div> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>																																								
FEE CALCULATION																																								
<p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th></th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility filing fee</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> </tr> <tr> <td>107</td> <td>490</td> <td>207</td> <td>245</td> <td>Plant filing fee</td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing fee</td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> </tr> </tbody> </table> <p style="text-align: right;">SUBTOTAL (1) (\$)</p>						Large Entity	Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)		101	710	201	355	Utility filing fee	106	320	206	160	Design filing fee	107	490	207	245	Plant filing fee	108	710	208	355	Reissue filing fee	114	150	214	75	Provisional filing fee
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<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>-20**</th> <th>=</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <th>Independent Claims</th> <th>-3**</th> <th>=</th> <th></th> <th></th> <th></th> </tr> <tr> <th>Multiple Dependent</th> <th></th> <th>X</th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>						Total Claims	-20**	=	Extra Claims	Fee from below	Fee Paid	Independent Claims	-3**	=				Multiple Dependent		X																				
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<p>Large Entity Small Entity</p> <p>GRANARO 0060025 201430 Fee 0974191 Fee Description</p> <p>00000093 DAIS 201430 0974191</p> <p>103 65.00 CH⁰³ 9 Claims in excess of 20</p> <p>102 945.00 CH⁰² 40 Independent claims in excess of 3</p> <p>104 130.00 CH⁰⁴ 135 Multiple dependent claim, if not paid</p> <p>109 80 209 40 ** Reissue independent claims over original patent</p> <p>110 18 210 9 ** Reissue claims in excess of 20 and over original patent</p> <p style="text-align: right;">SUBTOTAL (2) (\$)</p>																																								
<p>The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.</p>																																								
<p>*Reduced by Basic Filing Fee Paid</p>				<p>SUBTOTAL (3) (\$)1140</p>																																				

****or number previously paid, if greater; For Reissues, see above**

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Joseph R. Snyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000
Signature				Date	June 18, 2001

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16303-00701045

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

J. Snyder

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/674191	CHEN	T 016303-00701

JOSEPH R SNYDER
TOWNSEND AND TOWNSEND AND CREW
8TH FLOOR
TWO EMBARCADERO CENTER
SAN FRANCISCO, CA 94111

INTERNATIONAL APPLICATION NO.

PCT/US99/09076

I.A. FILING DATE	PRIORITY DATE
27 APR 99	28 APR 98

DATE MAILED:

18 DEC 2000

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
 a Designated Office (37 CFR 1.494),
 an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee.
 Copy of the international application in:
 a non-English language.
 English.
 Translation of the international application into English.
 Oath or Declaration of inventors(s) for DO/EO/US.
 Copy of Article 19 amendments.
 Translation of Article 19 amendments into English.
 The International Preliminary Examination Report in English and its Annexes, if any.
 Translation of Annexes to the International Preliminary Examination Report into English.
 Preliminary amendment(s) filed _____ and _____
 Information Disclosure Statement(s) filed _____ and _____
 Assignment document.
 Power of Attorney and/or Change of Address.
 Substitute specification filed _____.
 Verified Statement Claiming Small Entity Status.
 Priority Document.
 Copy of the International Search Report and copies of the references cited therein.
 Other:

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

'ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.'

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

- PCT/DO/EO/917
 PTO-875

- Notice of Defective Translation

FORM PCT/DO/EO/905 (December 1997)

Christine S. Washington
Telephone: 703-305-3752